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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Michael Wayne Graham et al.

Examiner: Unassigned

Serial No.:

09/997.905

Art Unit:

Unassigned

Filed:

November 30, 2001

Docket:

11535y

For:

CONTROL OF GENE EXPRESSION

Dated:

May 23, 2002

Assistant Commissioner for Patents Washington, DC 20231

Response to Notice to Comply under 37 C.F.R. §1.821

Sir:

In response to the Notice to Comply with Requirements for Patent Applications

Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated April 18, 2002

and in accordance with the provisions in 37 C.F.R. §1.821, Applicants submit herewith a

substitute paper and substitute computer readable copy of the Sequence Listing, along with a

Statement Under 37 C.F.R. §1.821(f), stating that these copies are identical. A copy of the

Notice to Comply is also enclosed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on May 23, 2002

Dated: May 23, 2002

lichelle Mustafa

Applicants respectfully submit that the content of the paper and computer copies of the sequence listing does not introduce new matter.

Respectfully submitted,

Frank S. DiGiglio Registration No. 31,346

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FSD/XZ:ab



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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/997,905

Michael Wayne Graham

11535y

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CONFIRMATION NO. 6158 FORMALITIES LETTER

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Leopold Presser Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, NY 11530

Date Mailed: 04/18/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE **DISCLOSURES**

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
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A copy of this notice <u>MUST</u> be returned with the reply.

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